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#### CERTIFICATE OF MAILING 37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date below:

10/24 |01

Signature

-. PATENT

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Yunhua N. Jeng Kanaiyalal R. Patel

Serial No.: 09/891,445

Filed: June 26, 2001

For: NON-AQUEOUS SURFACTANT-CONTAINING FORMULATIONS FOR

EXTENDED RELEASE OF SOMATOTROPIN

Confirmation No.: 6324

Group Art Unit: 1615

Examiner: To be assigned

Atty. Dkt. No.: 11916.0048.NPUS00

(MOPV048)

# RESPONSE TO 37 C.F.R. § 1.53(f) NOTICE

#### **BOX MISSING PARTS**

Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application Under 37 C.F.R. § 1.53(f), dated August 29, 2001, there are enclosed herewith:

- (a) Declaration executed on behalf of Yunhua N. Jeng and Kanaiyalal R. Patel;
- (b) A Power of Attorney on behalf of MONSANTO TECHNOLOGY LLC; and
- (c) A copy of Notice to File Missing Parts of Application-Filing Date Granted.

The Commissioner is authorized to withdraw a fee in the amount of \$1530 to cover the basic filing fee (\$740 - utility large entity); surcharge for late filing (\$130); additional claims fee (a

total of \$660, which includes: \$306 for 17 total claims, over 20; \$84 for 1 independent claim over 3 and a \$270 multiple dependent claim surcharge), from Deposit Account No. 01-2508/11916.0048.NPUS00

Filed herewith is a copy of the filing receipt which updates the original filing receipt by providing the residence of the Applicants. Accordingly, Applicants request a corrected filing receipt.

Also filed herewith is an Information Disclosure Statement, PTO Form-1449, and the listed references.

An Assignment to MONSANTO TECHNOLOGY LLC and a authorization for the Commissioner to withdraw the \$40.00, required for the recordation of the assignment, from Deposit Account No. 01-2508/11916.0048.NPUS00 is being filed under separate cover.

Should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, the Commissioner is authorized to deduct said fees from Deposit Account No. 01-2508/11916.0048.NPUS00.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,

Matthew L. Madsen

Reg. No. 45,594

Agent for Assignee

MONSANTO TECHNOLOGY LLC

Matthew Midsen

HOWREY SIMON ARNOLD & WHITE, LLP 750 Bering Drive Houston, Texas 77057-2198 (713) 787-1400

Date:

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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

WWW.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

MARK OFFICE

ATTORNEY DOCKET NUMBER

09/891,445

06/26/2001

Yunhua N. Jeng

11916.0048.NPUS00

**CONFIRMATION NO. 6324** 

Date Mailed: 08/29/2001

FORMALITIES LETTER

\*OC000000006491836\*

750 BERING DRIVE HOUSTON, TX 77057-2198

**HOWREY SIMON ARNOLD & WHITE** 

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

  Applicant must submit \$-749 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27). ♦ 140
- Total additional claim fee(s) for this application is \$656.

Stet \$ 306

\$306 for 12 total claims over 20.
\$60 for 1 independent claims over 3.

- \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1496. \$ \$ \$ \$

01/08/2002 NMOHAMM1 00000110 012508 09891445

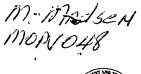
01 FC:101 740.00 CH 02 FC:103 306.00 CH 03 FC:102 84.00 CH 04 FC:104 280.00 CH 05 FC:105 130.00 CH

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE









COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC	D ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/891,445	06/26/2001	1615	0.00 1	1916.0048.NPUS	3 3	27	4

**CONFIRMATION NO. 6324** 

FILING RECEIPT

OC000000006491835\*

**HOWREY SIMON ARNOLD & WHITE** 750 BERING DRIVE HOUSTON, TX 77057-2198

Date Mailed: 08/29/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Custom r S rvice Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If y u received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

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Chesterfield, MO Yunhua N. Jeng, Residence-Not-Provided;

Kanaiyalal R. Patel, Residence Not Provided; St. Louis, 1990 REC'D HOWPREY SANON ARMOLD & WHITE

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/214,168 06/26/2000

SEP 0 4 2001

HOUSTON DOCKETING DEPT.

Foreign Applications

If Required, Foreign Filing License Granted 08/28/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

N n-Publication Request: No

DOCKETED D UPDATED

Previously\_\_\_ Net Required

Early Publication Request: No

Appl. Info Feling Relei Reg/Grant bufo 414-01 Redeu

Action Required:

Title

Date Due/Done:

Non-aqueous surfactant-containing formulations for extended release of somatotropin

**Preliminary Class** 

424

Data entry by : ROBINSON, FELICIA

Team : OIPE

Date: 08/29/2001